

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Manus P. HENRY et al.

Art Unit: 2863

Serial No.: 09/815,275

Examiner: M. Nghiem

Filed

: March 23, 2001

Title

: PROCESS MONITORING AND CONTROL USING SELF-VALIDATING

SENSORS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: May 20, 2004

Reg. No. 46,112

Fish & Richardson P.C. 1425 K Street, N.W.

11th Floor

Washington, DC 20005-3500 Telephone: (202) 783-5070 Facsimile: (202) 783-2331

WXH/adt 40218066.doc

05/21/2004 SSITHIB1 00000111 09815275 01 FC:1806 180.00 OP Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 02052-104001

Application No. 09/815,275

MAY 2 0 200

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant

Manus P. HENRY et al.

Filing Date

March 23, 2001

Group Art Unit 2863

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,586,066	12/17/96	White et al.			
	AB	6,047,220	04/04/00	Eryurek			
	AC						
	AD					***	
	AE						
	AF						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans	lation No
	AG	- Turno	Duio	, don omo	0.000	Gabolago	103	110
	AH					4		
	AI							
	AJ							

(Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	_ ID	Document				
	AK	G. Wood, UK Activities in Measurement Validation and Data Quality, (October 2000), Computing & Control Engineering Journal				
	AL M. Henry, Plant Asset Management Via Intelligent Sensors Digital, Distributed and For I October 2000, Computing & Control Engineering Journal					
		M. P. Henry, The Integration of Fault Detection Within Plant-Wide Data Quality Management. Vol. 2, 13-16, June (1994), IFAC-Safeprocess 94				
-	AN U. Enste & F. Uecker, The Use of Supervisory Information in Process Control, (Octobe Computing & Control					
	AO	J. C. Yang & D.W. Clarke, The Self-Validating Actuator, Vol. 7, pp. 249-260. (1999), Control Engineering Practice				
	AP	J. K. Hackett & M. Shah, Multi-Sensor Fusion: A Perspective, (1990), IEEE				
	AQ	R.C. Luo & M. G. Kay, A Tutorial on Multisensor Integration and Fusion, (1990), IEEE				
	AR	M.P. Henry, "Self-Validating Sensor", Control Engineering Europe, pp. 32-39.				
	AS	L. Mari & G. Zingales, Uncertainty in Measurement Science				
	AT	M. Henry, Self-Validating Digital Coriolis Mass Flow Meter, (October 2000), Computing & Control Engineering Journal				

Examiner Signature	Date Considered				
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 02052-104001

Application No. 09/815,275

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Manus P. HENRY et al.

Filing Date

March 23, 2001

Group Art Unit 2863

MAY 2 0 200

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
	AU	S. J. Kline et al., "Describing Uncertainties in Single-Sample Experiments", Mechanical				
	AU	Engineering, pp. 3-8, 1853.				
	AV	437	Paul M. Frank, "Fault Diagnosis in Dynamic Systems Using Analytical and Knowledge-based			
		Redundancy – A Survey and Some New Results," Automatica, Vol. 26, no. 3, pp. 459-474, 1990.				
	AW	R. J. Moffat, "Contributions to the Theory of Single-Sample Uncertainty Analysis", ASME Journal				
	AW	of Fluid Engineering, Vol. 104, pp. 250-260, 1982.				
	AX	M. P. Henry et al., "The Self-Validating Sensor: Rationale, Definitions and Examples," Control				
		Engineering Practice, Vol. 1, no. 4, pp. 585-610, 1993.				
	AY	M. P. Henry, "A SEVA SENSOR – THE CORIOLIS MASS FLOW METER," IFAC Fault				
		Detection, Supervision and Safety for Technical Processes, Vol. 2, pp. 429-434, 1994.				

Examiner Signature

Date Considered